10

20

CLAIMS

What is claimed is:

A method for certificate generation comprising the steps of:

forwarding a request from a first node to a second node to generate a certificate, wherein said request includes a first identifier that identifies the first node; and

in response to receipt of the request at the second node, generating a certificate that includes said first identifier.

- 2. The method of claim 1 wherein said request further includes a second identifier that identifies a principal.
 - 3. The method of claim 2 wherein said certificate further includes a public key associated with said principal, and said second identifier.
 - 4. The method of claim 1 further including the step of authenticating said certificate by said second node.
- 5. The method of claim 4 wherein said step of authenticating said certificate comprises the step of generating a certificate digitally signed by said second node.

10

15

20

25

- 6. of claim 5 wherein said The method step generating said certificate/ signed by said second node. comprises the step of generating a certificate digitally signed by said second node using a private key of a public private key pair associated with said second node.
- 7 _ The method of claim 1 wherein said certificate further includes a time stamp that identifies a time associated with the request.
- The method of claim 1 further including the step of 8. authenticating said request by said first node.
- 9. claim wherein said The method of 8 step authenticating said request by said first node comprises the step of digitally signing said request.
 - The method of claim 9 wherein said step of digitally 10. signing said request comprises the step of digitally signing said request using а private public/private key pair associated with said first node.
 - The method of claim 1 wherein said certificate 11. further includes a time stamp that is associated with a time and date when said request was received by said second node.
 - 12. method for determining whether access resource should be provided to a principal in response to

a request for access to the resource by the principal comprising the steps of:

receiving said request for access to said resource from said principal at a server;

verifying the authenticity of said request using a key contained within a certificate associated with said principal;

determining whether а registration authority identifier within said certificate corresponds identifier contained registration on а certificate revocation list. wherein said registration authority identifier is associated with a registration authority that requested a certification authority to generate said certificate; and

providing an indication to said server that said certificate has been revoked and denying access of said principal to said resource in response to a determination that said registration authority identifier within said certificate corresponds to a registration authority identifier on said certificate revocation list.

13. The method of claim 12 wherein said determining step further comprises the step of determining whether a time stamp contained within said certificate that specifies a time of receipt of a request from said registration authority to the certification authority to generate the certificate corresponds to a period identified on said certificate revocation list during which the respective registration authority is indicated to be untrustworthy; and

ATTORNEY DOCKET NO. P4098 WEINGARTEN, SCHURGIN, GAGNEBIN & HAYES LLP TEL. (617) 542-2290 FAX. (617) 451-0313

25

30

5

10

15

20

10

15

said providing step comprises the step of providing said indication to said server that said certificate has been revoked and denying access of said principal to said resource in response to a determination that said registration authority identifier within said certificate corresponds to said registration authority identifier on said certificate revocation list and said time stamp within said certificate corresponds to a time within said period identified on said certificate revocation list during which said registration authority was indicated to be untrustworthy.

- 14. The method of claim 13 wherein said period has a beginning point and an assumed ending point, said beginning point being specified by a time value contained within said certificate revocation list and the assumed ending point corresponds to a present time value.
- 15. The method of claim 13 wherein said period has a beginning point and an ending point, said beginning point being specified by a first time value and the ending point corresponds to a second time value.
- 16. The method of claim 12 wherein said verifying and determining steps are performed by said server.
 - 17. A certification authority comprising:
 - a memory containing a computer program for generating said certificate; and

[]	
i, 🗒	
١. ا	
(n	
†:[
ŀå	
ľIJ	
ľ.O	
: :	
[]	
ļė	
ij	
ľU	

a	proces	sor oper	ativé	to	execute	said	comp	outer
program,	said	computer	prøg	gram	containing	prog	gram	code
for.			ĺ					

from receiving request а registration authority to issue said certificate; and

response to receipt of generating said certificate that includes at least a registration authority identifier associated with said registration authority.

10

5

The certification authority of claim 17 wherein said request to issue said certificate is an authenticated said computer program and further program code for verifying said authenticated request.

15

The certification authority of claim 17 wherein said certificate generated by said computer program further includes principal | identifier associated with a principal and a key associated with said principal.

20

25

30

- 20. The certification authority of claim 17 wherein said computer program further includes program code for storing within said centificate a time stamp associated with a time when said certification authority received said request from said registration authority.
- system for determining whether access resource should be provided to a principal in response to a request for access to the resource by the principal comprising:

a first server operative to receive a request for access to said resource from said principal, said first server being operative to verify the authenticity of said request using a key contained within a certificate associated with said principal, wherein said certificate includes at least a registration authority identifier associated with a registration authority that issued a request to a certification authority to issue said certificate;

a second server containing a certificate revocation list, wherein said certificate revocation list includes said registration authority identifier in the event the associated registration authority has been determined to be untrustworthy, said second server being operative in response to a certificate revocation inquiry request to ascertain whether said | certificate revocation list registration contains authority identifier that corresponds to said registration authority identifier within said certificate; and

second server being further operative to provide an indication to said first server that said certificate has been revoked in the event said certificate revocation list contains said registration identifier authority that corresponds to said registration authority identifier within said certificate.

22. The system of claim 21 wherein said first and second server comprise a single server.

30

5

10

15

20

25

23. The system of claim 21 wherein said first server is further operative in response to receipt of said indication that said certificate has been revoked to deny said principal access to said requested resource.

5

10

15

20

24. system of claim 21 wherein said certificate further includes a time stamp associated with a time when authority said certification received from said registration authority said request to issue said certificate on behalf of said principal; and

wherein said certificate revocation list includes said registration authority identifier in the event the associated registration authority has been determined to be untrustworthy and at least one value defining a time interval during which said registration authority is deemed to be untrustworthy,

said second server being operative in response to a certificate revocation inquiry request to provide a revocation indication if said certificate revocation list contains a registration authority identifier that corresponds to said registration authority identifier within said certificate and a time stamp associated with said registration authority identifier that is within said interval.

25

- 25. The system of claim 23 wherein said second server comprises a revocation server.
- 26. The system of claim 25 wherein said revocation operative in response to said

25

30

revocation indication to forward a certificate revocation message to said first server that indicates that said certificate has been revoked.

- 5 27. The system of claim 26 wherein said first server is operative in response to said certificate revocation message to deny said principal access to said requested resource.
- 28. A computer program product including a computer readable medium, said computer readable medium having a computer program stored thereon for generating a certificate, said computer program being executable by a processor and comprising:

program code for receiving a request from a registration authority to issue a certificate on behalf of a principal;

program code operative in response to recognition of said request, for generating by a certification authority certificate authenticated by said certification authority wherein said certificate includes at least a principal identifier associated with said principal, with principal for key associated said in use authenticating messages generated by said principal, and registration identifier associated with said registration authority.

29. The computer program product of claim 28 wherein said program code for generating said certificate is further operative to include within said certificate a

10/30/03

time stamp associated with a time receipt by said certification authority of said request from said registration authority of said request to issue said certificate.

5

10

15

30. A computer data signal, said computer data signal including a computer program for use in generating a certificate, said computer program comprising:

program code for receiving a request from a registration authority to issue a certificate on behalf of a principal;

program code operative in response to recognition of said request, for generating by a certification authority certificate authenticated by said certification authority wherein said certificate includes at least a principal identifier associated with said principal, associated with said principal for authenticating messages generated by said principal, and identifier associated registration with registration authority.

20

The computer data signal of claim 30 wherein said 31. program code for generating said certificate is operative include within said certificate to а time stamp associated with a time of receipt by said certification authority from said registration authority of request to issue said certificate.

25

- 32. The computer data signal of claim 30 wherein said computer program further includes program code for publishing said certificate.
- 5 33. The computer data signal of claim 30 wherein said program code for publishing said certificate includes program code for forwarding said certificate to a directory server.
- 10 34. An apparatus for generating a certificate in a computer network comprising:

means operative in response to receipt of a request from a first node coupled to a computer network at a second node coupled to said network for generating at said second node a certificate that includes a first node identifier associated with said first node.

- 35. The apparatus of claim 34 wherein said request was initiated by a principal and said request includes a 20 principal identifier associated with said principal and certificate further includes said said principal identifier and a public associated with key said principal.
- 25 36. The apparatus of claim 34 wherein said certificate is authenticated by said second node.
- 37. The apparatus of claim 34 further including means for comparing said first node identifier to a node

identifier associated with an untrustworthy node on said network that is contained within a certificate revocation list and providing an indication that said certificate is untrustworthy in the event said first node identifier matches said untrustworthy node identifier.